

Effects of the Inherent Optical Properties on Remotely Sensed Reflectance

W. Scott Pegau

College of Oceanic and Atmospheric Sciences

Ocean. Admin. Bldg. 104

Oregon State University

Corvallis, OR 97331-5503

Phone: (541) 737-5229 fax: (541) 737-2064 email: pegau@coas.oregonstate.edu

Emmanuel Boss

College of Oceanic and Atmospheric Sciences

Ocean. Admin. Bldg. 104

Oregon State University

Corvallis, OR 97331-5503

Phone: (541) 737-2366 fax: (541) 737-2064 email: boss@oce.orst.edu

Award #: N000149910225

<http://photon.oce.orst.edu/ocean/ocean.htm>

LONG-TERM GOALS

The long-term goals of this project are to determine how the inherent optical properties and their vertical distribution effect remote sensing.

SCIENTIFIC OBJECTIVES

This work includes using two-flow and full radiative transfer models to evaluate the conditions under which subsurface optical structure is detectable, and to develop an inversion model to determine the vertical structure of the IOP based on the presence of horizontal gradients in the spectral reflectance. We are also examining the role of the volume scattering function in determining the measured reflectance.

APPROACH

The components of our approach are:

1. Evaluate the conditions under which subsurface optical structure is detectable,
2. Develop an inversion model to determine the vertical structure of the IOP based on the presence of horizontal gradients in the spectral reflectance,
3. Examine the effects of changes in the volume scattering function on the reflectance,
4. Evaluate the model using field data.

An analytical model was developed to examine the conditions that subsurface optical structure may be detectable. We will use measurements collected during the field experiments at the LEO-15 site to

Report Documentation Page			Form Approved OMB No. 0704-0188		
<p>Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p>					
1. REPORT DATE 30 SEP 2002	2. REPORT TYPE	3. DATES COVERED 00-00-2002 to 00-00-2002			
4. TITLE AND SUBTITLE Effects of the Inherent Optical Properties on Remotely Sensed Reflectance			5a. CONTRACT NUMBER		
			5b. GRANT NUMBER		
			5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)			5d. PROJECT NUMBER		
			5e. TASK NUMBER		
			5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) College of Oceanic and Atmospheric Sciences,,Ocean. Admin. Bldg. 104,Oregon State University,,Corvallis,,OR, 97331			8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)		
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT The long-term goals of this project are to determine how the inherent optical properties and their vertical distribution effect remote sensing					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 8	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

test models for inverting to get optical structure. Data collected during the field experiments includes several measures of the volume scattering function under a wide range of conditions. These measurements will be used to examine if the volume scattering function is important in determining the reflectance under single-scattering conditions.

The ability to use remotely sensed radiance to determine vertical structure depends on the optical properties and thickness of the surface mixing-layer (ML). In this region the physical processes are assumed to mix particles and dissolved materials faster than source or sink terms for the given material, which gives rise to a layer in which the optical properties can be assumed to be homogeneous. Such a surface-mixing layer is formed by the action of wind, waves and convection.

Light penetration through the surface layer depends on the optical properties of the surface layer and its thickness. To be detectable, stratification in optical properties must exist within the satellite viewing depth and there must be sufficient contrast between the surface layer and those beneath it. Since

$R_{rs} \propto \frac{b_b}{a_t}$, no contrast will exist if both the backscattering (b_b) and total absorption (a_t) change by the

same proportion between the surface and lower layers. It can be shown that the solution to the radiative transfer equation at a fixed optical depth (such as in the case of water leaving radiance) will remain constant if the IOP co-vary (i.e. a/b , a/c , b/c are constant) and the shape of the phase function is constant. We will refer to cases where the optical properties co-vary, as being vertically optically homogeneous because an equivalent homogeneous distribution of IOP exists that would provide the same reflectance. Optical homogeneity in the vertical requires that both the backscattering and total absorption increase by the same proportion, which is more likely to occur at shorter wavelengths. This is because the total absorption coefficient is dependent on the contributions by water, CDOM, and particles (phytoplankton, detritus, and sediment) and in coastal waters particles alone dominate b_b . For both b_b and a_t to change by the same proportion the optical properties must be dominated by the particles. In the red portion of the spectrum water has a large absorption coefficient and it is therefore less likely that particles will dominate the optical properties. Thus it is most likely that vertical optical homogeneity will affect only a part of the spectrum.

In evaluating the role of the volume scattering function we are working closely with a group of investigators from the Marine Hydrophysical Institute in the Ukraine who measured the volume scattering function during the field experiments. We also are working with them and others to investigate the instrument capabilities and scattering properties of individual organisms as part of a scattering workshop.

WORK COMPLETED

We have completed two field campaigns at the LEO-15 site. In the summer of 2000 we concentrated our measurements close to shore where the surface waters were very turbid. In the summer of 2001 we focused our efforts further offshore where clear over turbid conditions prevailed. We were also able to sample a wide range of optical water types at stations running from the LEO nodes to the shelf break. Data from these cruises are available through our website

http://www.photon.coas.oregonstate.edu/ocean/projects/hycode/data/hycode_data.html In the spring of 2002 we participated in 2 scattering workshops. The first examined the performance of a wide

range of instruments that measure scattered light and the second examined the scattering properties of phytoplankton cultures.

We examined the role of the subsurface structure in the IOP on the remotely sensed reflectance off the LEO-15 site during 2001. We examined the limitations of the various published inversion models designed to retrieve the IOP from remotely sensed reflectance. We were involved with a study to predict the optical properties during the HyCODE experiment, and another to examine the closure of upwelling radiance with the IOP. All of these studies were presented during the Ocean Sciences meeting in 2002. An additional study presented at Ocean Science and published in Applied Optics (Boss and Pegau, 2002) examined the retrieval of the backscattering coefficient by measurement of scattering at a single angle. Further work with the scattering and attenuation by particles led to the publications on the phase function effects on oceanic light fields (Mobley et al., 2002), the determination of the size distribution of particles from the beam attenuation spectrum (Boss et al., 2002), and inversion of reflectance to determine the beam attenuation spectrum (Roesler and Boss, submitted). Further work with the IOP led to publications on the closure of upwelling radiance estimates with the IOP (Chang et al., submitted), the physical forcing factors determining the distribution of IOP (Chang et al., in press), and the determination of circulation patterns using ocean color measurements (Pegau et al., 2002). We have also completed a study on the relation of scattering and particle composition in the vicinity of Leo XV (Boss et al., submitted).

RESULTS

The optical properties observed during the 2001 field campaign showed a front in optical properties near the 25 m isobath and offshore of the front a subsurface chlorophyll layer extruded outward with a core at approximately 18 m depth. This turbid water was overlain by very clear water, which provided ideal conditions for observing subsurface structure. In this case we found that the absorption in the subsurface layer reduced the water-leaving radiance by 4% at blue wavelengths, and the increased backscattering increased the water-leaving radiance by up to 10% at 550 nm compared to the case where the subsurface layer did not exist. Modulation of the subsurface layer by a 1 m amplitude internal wave would cause approximately a 2% change in reflectance between the peak and trough with larger waves causing larger changes in reflectance (Fig. 1).

Improvement of algorithms for inverting ocean color to obtain IOPs is crucial for this project. In Roesler and Boss (submitted) we have developed a novel approach to inverting ocean color. Rather than assuming a shape for the particulate backscattering spectrum (as commonly done) we expressed it as a function of particulate attenuation and absorption, two better constrained IOPs (designated below as c-based model).

The model was tested on data collected from a range of optical environments with chlorophyll values ranging from 0.07 to 131.65 $\mu\text{g/L}$. Reflectance spectra were well predicted by both the standard and the c-based inversion models under typical gyre and coastal regimes, although the c-based model predicted the measured reflectance better under the extreme algal bloom.

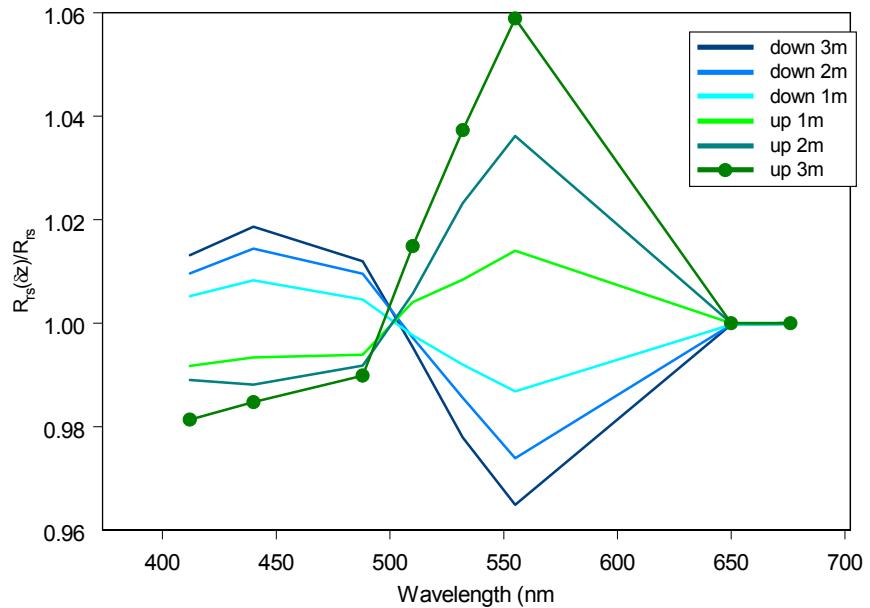


Figure 2. Internal wave effects on reflectance

[Hydrolight simulations of the changes in reflectance expected if an internal wave modulated the depth of the optical properties observed during the 2001 HyCODE field experiment. At the peak of a wave the reflectance decreases in the blue and increases in the green with the wave being most clearly observed at green wavelengths. A region with no response exists at 500 nm.]

The c-based model performed better than the standard model in predicting the magnitude of the particle backscattering coefficient and the magnitude and spectral shape of the particulate and CDOM absorption coefficients (Fig 2). The standard model overestimated both the backscattering and absorption coefficients. The spectral slopes on the derived backscattering coefficients were very steep (and unrealistic) but were balanced by transference of variance to the CDOM and CPM constituents. Particulate attenuation coefficients derived from the c-based model compared well with observed values over three orders of magnitude, with a slope of 0.73 for the whole data set and a slope of 1.01 for values less than 1.5 m^{-1} and $r^2 = 0.83$ regardless of range. Similarly, the particulate absorption coefficient derived by the model was highly correlated with observed values, $r^2=0.81$, with a slope of 1.05. The values derived for the particle backscattering ratio varied from 0.005 to 0.01, which was in the range of observed values (0.005 to 0.015) but were uncorrelated. The values derived for the slope of the particle attenuation coefficient, γ , were likewise within reasonable ranges but were only weakly correlated with observed values, $r^2=0.57$, with a slope of 0.64.

IMPACT/APPLICATIONS

Providing information on the vertical distribution of IOP will enable more exact inversion of ocean color to optically active water constituents. The estimation of the backscattering coefficient by instruments making measurements at a single angle can be improved by treating the water and particulate components separately. Improving ocean color algorithms will provide better information on in-water IOP.

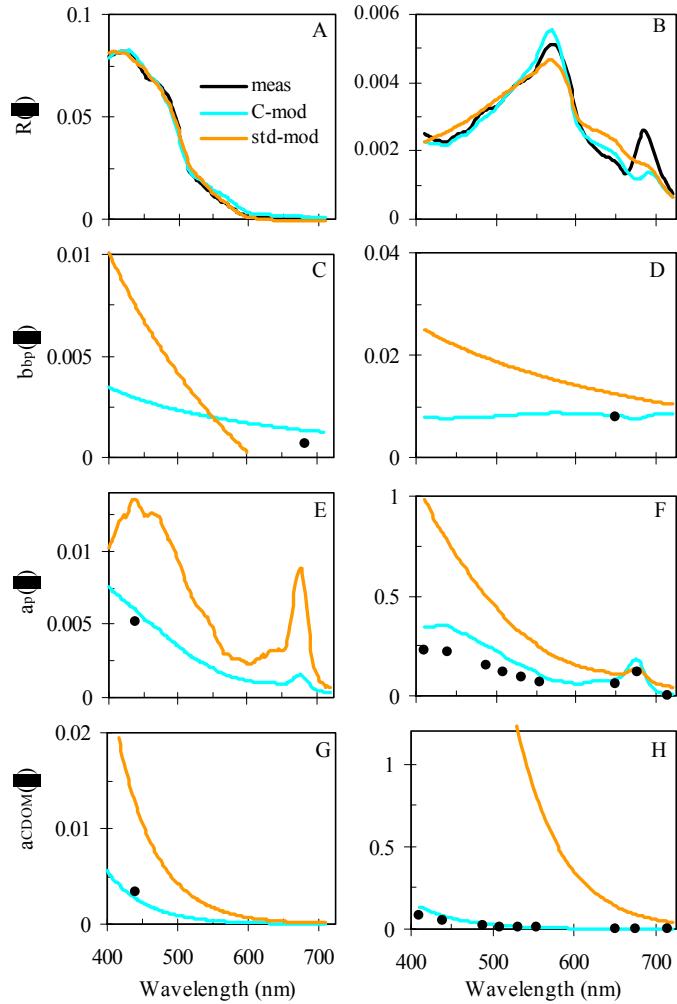


Figure 3: IOP inversion model

[Observed and modeled parameters for two optically diverse regimes: spectral reflectance (A, irradiance, B, radiance reflectance), particulate attenuation (C, D), particulate absorption (E, F) and CDOM absorption (G, H). Observations were made in the gyre waters off the Oregon coast (left panels, from Roesler and Perry 1995), coastal waters off the South African coast (right panels). Chlorophyll values were 0.07 and 7.89 $\mu\text{g/L}$, respectively. Observed values are shown in black, results from standard reflectance inversion model are in orange, and from attenuation-based model are in cyan. The new model provides better agreement than the standard model when comparing measured and retrieved values of all parameters both in spectral shape and magnitude]

TRANSITIONS

Data collected during the summer of 2000 is available to all investigators at our anonymous ftp site. The IOP and AOP data collected during 2000 at the LEO-15 site has been used by Curt Mobley at Sequoia Scientific to model the effect of the VSF on closure (Mobley et al., 2002), and Grace Chang at UCSB in her studies of optical closure (Chang et al., submitted).

RELATED PROJECTS

None

REFERENCES

Boss, E., and W. S. Pegau, The relationship of light scattering at an angle in the backward direction to the backscattering coefficient, *Appl. Opt.*, 40, 5503-5507, 2001.

Boss, E., M. S. Twardowski, and S. Herring, Shape of the particulate beam attenuation spectrum and its inversion to obtain the shape of the particulate size distribution, *Appl. Opt.*, 40, 4885-4893, 2001.

E. Boss, W. S. Pegau, M. Lee, M. S. Twardowski, E. Shybanov, G. Korotaev, and F. Baratange. The particulate backscattering ratio at LEO 15 and its use to study particles composition and distribution. Submitted to JGR-Oceans.

Chang, G. C., T. D. Dickey, O. M. Schofield, A. D. Weidemann, E. Boss, W. S. Pegau, M. M. Moline, and S. M. Glenn, Temporal and spatial variability of physical and bio-optical properties on the New York Bight inner continental shelf, in press *Journal of Geophysical Research*.

Chang, G. C., E. Boss, C. D. Mobley, T. D. Dickey, and W. S. Pegau, Toward closure of upwelling radiance in coastal waters, submitted to *Applied Optics*.

Mobley, C. D., L. K. Sundman, and E. Boss, Phase function effects on oceanic light fields, *Appl. Opt.*, 41, 1035-1050, 2002.

Pegau, W. S., E. Boss, and A. Martinez, Ocean color observations of eddies during the summer in the Gulf of California, *Geophys. Res. Lett.*, 29, 10.1029/2001GL014076, 2002.

Roesler, C. S., and E. Boss, A novel ocean color inversion model: retrieval of beam attenuation and particle size distribution, submitted to *Geophysics Review Letters*.

PUBLICATIONS

Boss, E., and W. S. Pegau, The relationship of light scattering at an angle in the backward direction to the backscattering coefficient, *Appl. Opt.*, 40, 5503-5507, 2001.

Boss, E., M. S. Twardowski, and S. Herring, Shape of the particulate beam attenuation spectrum and its inversion to obtain the shape of the particulate size distribution, *Appl. Opt.*, 40, 4885-4893, 2001.

Mobley, C. D., L. K. Sundman, and E. Boss, Phase function effects on oceanic light fields, *Appl. Opt.*, 41, 1035-1050, 2002.

Pegau, W. S., E. Boss, and A. Martinez, Ocean color observations of eddies during the summer in the Gulf of California, *Geophys. Res. Lett.*, 29, 10.1029/2001GL014076, 2002.

Chang, G. C., T. D. Dickey, O. M. Schofield, A. D. Weidemann, E. Boss, W. S. Pegau, M. M. Moline, and S. M. Glenn, Temporal and spatial variability of physical and bio-optical properties on the New York Bight inner continental shelf, in press *Journal of Geophysical Research*.

E. Boss, W. S. Pegau, M. Lee, M. S. Twardowski, E. Shybanov, G. Korotaev, and F. Baratange. The particulate backscattering ratio at LEO 15 and its use to study particles composition and distribution. Submitted to JGR-Oceans.

Chang, G. C., E. Boss, C. D. Mobley, T. D. Dickey, and W. S. Pegau, Toward closure of upwelling radiance in coastal waters, submitted to *Applied Optics*.

Roesler, C. S., and E. Boss, A novel ocean color inversion model: retrieval of beam attenuation and particle size distribution, submitted to *Geophysics Review Letters*.

PATENTS

None